			EXTENDED TO MAY 15, 2018		OMB No. 1545-0047
Forr	" 9	90	Return of Organization Exempt From Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (e		0040
		of the Treasury	Do not enter social security numbers on this form as it ma		Open to Public
		enue Service	Information about Form 990 and its instructions is at www ar year, or tax year beginning JUL 1, 2016 and ending		Inspection
-				JUN 30, 2017	
B C a	heck if pplicab	le:	forganization	D Employer identifie	cation number
	Addre chang Name chang		-CHINA ASSOCIATION, INC.	06-0	646971
	Initial return	U	and street (or P.O. box if mail is not delivered to street address) Room/sui		
	Final returr termii	442	TEMPLE ST. BOX 208223	203-	432-0880
	ated Amer returr	ded NEW	own, state or province, country, and ZIP or foreign postal code HAVEN, CT 06520-8223	G Gross receipts \$ H(a) Is this a group re	3,222,240.
	Appli tion	^{ca-} F Name a	nd address of principal officer: DAVID YOUTZ	for subordinates	
	pend		EMPLE STREET, NEW HAVEN, CT 06520	H(b) Are all subordinates ir	
ΙT	ax-ex	empt status:	X 501(c)(3) 501(c) ()◀ (insert no.) 4947(a)(1) or 55	27 If "No," attach a	list. (see instructions)
J۷	Vebsi	te: 🕨 WWW .	YALECHINA.ORG	H(c) Group exemptio	n number 🕨
κF	orm o	f organization: [X Corporation Trust Association Other ► L Ye	ar of formation: 1901	A State of legal domicile: CT
Pa	nrt I				
e	1	Briefly describ	be the organization's mission or most significant activities: \underline{THE} \underline{YALE} -	CHINA ASSOCI	ATION
Governance		INSPIRE	S PEOPLE TO LEARN AND SERVE TOGETHER.	FOUNDED IN	1901 BY
srne	2	Check this bo	x 🕨 🛄 if the organization discontinued its operations or disposed of mo	ore than 25% of its net as	
ove	3	Number of vo	ting members of the governing body (Part VI, line 1a)	3	25
5	4	Number of inc	lependent voting members of the governing body (Part VI, line 1b)		25
es {	5	Total number	of individuals employed in calendar year 2016 (Part V, line 2a)	5	0
viti	6		of volunteers (estimate if necessary)		45
Activities &	7a		d business revenue from Part VIII, column (C), line 12		0.
1			business taxable income from Form 990-T, line 34		0.
				Prior Year	Current Year
ē	8	Contributions	and grants (Part VIII, line 1h)	730,006.	2,376,368.
nuə	9	Program servi	ce revenue (Part VIII, line 2g)	0.	0.
Revenue	10	Investment in	come (Part VIII, column (A), lines 3, 4, and 7d)	670,221.	698,112.
Œ	11	Other revenue	e (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	8,122.	22,760.
	12	Total revenue	- add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,408,349.	3,097,240.
			nilar amounts paid (Part IX, column (A), lines 1-3)	56,781.	53,481.
	14	Benefits paid	to or for members (Part IX, column (A), line 4)	0.	0.
es	15	Salaries, othe	r compensation, employee benefits (Part IX, column (A), lines 5-10)	926,617.	992,132.
sue	16a	Professional f	undraising fees (Part IX, column (A), line 11e)	0.	0.
Expense	b	Total fundrais	ing expenses (Part IX, column (D), line 25) ►62,664.		
ш	17	Other expense	es (Part IX, column (A), lines 11a-11d, 11f-24e)	765,650.	844,616.
	18	Total expense	s. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,749,048.	1,890,229.
	19	Revenue less	expenses. Subtract line 18 from line 12	-340,699.	1,207,011.
Net Assets or Fund Balances				Beginning of Current Year	End of Year
sset 3alaı	20	Total assets (I		15,410,782.	17,661,535.
at As	21		(Part X, line 26)	37,754.	218,423.
	22		fund balances. Subtract line 21 from line 20	15,373,028.	17,443,112.
	rt II	•			
			I declare that I have examined this return, including accompanying schedules and state		y knowledge and belief, it is
true,	corre	ct, and complete	. Declaration of preparer (other than officer) is based on all information of which prepa	rer has any knowledge.	

Sign	Signature of officer			Date
Here	DAVID YOUTZ, PRESIDENT	P		
	Type or print name and title			
	Print/Type preparer's name	Preparer's signature	Date	Check PTIN
Paid	WILLIAM F. PALLMAN, CPA			self-employed P00187670
Preparer	Firm's name 🕨 PALLMAN & COMPAN			Firm's EIN 16-1661957
Use Only	Firm's address 677 STATE STREE			
	NEW HAVEN, CT 06	5511		Phone no. (203) 562-1797
May the IF	RS discuss this return with the preparer shown ab	ove? (see instructions)		X Yes No
632001 11-1	1-16 LHA For Paperwork Reduction Act Not	ice, see the separate instructions.		Form 990 (2016)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

_	1 990 (2016) YALE-CHINA ASSO		INC.	06-06469	71 Page 2
Pa	rt III Statement of Program Service Accor	-			
<u> </u>	Check if Schedule O contains a response or note Briefly describe the organization's mission NONI		Part III		<u></u>
1	Briefly describe the organization's mission: NONE	2			
2	Did the organization undertake any significant program	services during th	ne year which were not lis		
	prior Form 990 or 990-EZ?			L_	Yes X No
	If "Yes," describe these new services on Schedule O.] [77]
3	Did the organization cease conducting, or make signific	ant changes in he	ow it conducts, any prog	ram services?	Yes X No
4	If "Yes," describe these changes on Schedule O. Describe the organization's program service accomplisi	amonto for ocob c	f ita thraa largaat progra	m convision, an managurad by over	00000
4	Section 501(c)(3) and 501(c)(4) organizations are require				
	revenue, if any, for each program service reported.		fibulit of grants and alloc		
4a	(Code:) (Expenses \$ 1,737,670			B1.) (Revenue \$)
		-		SSROOM HOURS AND	
	RESEARCH. HEALTH PROGRAM:			IN DIRECT SERVIO	
	CLASSROOM HOURS AND/OR RESEA				
	4,950 HOURS IN DIRECT SERVIC				RAM:
	PROVIDED 640 HOURS IN DIRECT	I SERVICE	AND/OR CLASS	SROOM HOURS.	
4b	(Code:) (Expenses \$	including grants of	\$) (Revenue \$)
4c	(Code:) (Expenses \$	including grants of	\$) (Revenue \$)
4 -1	Other program convises (Describe in Only of the Only				
4d	Other program services (Describe in Schedule O.) (Expenses \$ including grants of	¢) (Revenue \$	١	
4e		<u>3</u> 7,670.	j (Hevenue \$)	
		,		Fo	orm 990 (2016)
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			2		
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Pa	rt IV Checklist of Required Schedules			<u> </u>
			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1	Х	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Х	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			
	public office? If "Yes," complete Schedule C, Part I	3		х
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect			
	during the tax year? If "Yes," complete Schedule C, Part II	4		х
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
	similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		х
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to			
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		х
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete			
	Schedule D, Part III	8		х
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		x
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent			
	endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	Х	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VII, IX, or X			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,			
	Part VI	11a	Х	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	Х	
с	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		х
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in			
	Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		Х
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e		Х
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	Х	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete			
	Schedule D, Parts XI and XII	12a	Х	
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		Х
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		Х
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Х	
	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000			
	or more? If "Yes," complete Schedule F, Parts I and IV	14b	Х	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any			
	foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	Х	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to			
	or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		х
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,			
	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17		Х
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines			
	1c and 8a? If "Yes," complete Schedule G, Part II	18	Х	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"			
	complete Schedule G. Part III	19		X

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Part IV Checklist of Required Schedules (continued)

			Yes	No
20 a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		Х
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		X
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on			
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			
	Schedule J	23	Х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			
	Schedule K. If "No", go to line 25a	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease			
	any tax-exempt bonds?	24c		
	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit			
	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete			
	Schedule L, Part I	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or			
	former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes,"			v
	complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial			
	contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member	07		x
~~	of any of these persons? If "Yes," complete Schedule L, Part III	27		
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
	instructions for applicable filing thresholds, conditions, and exceptions):	00-		x
a	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		
С	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer,	000		x
20	director, trustee, or direct or indirect owner? <i>If</i> "Yes," <i>complete Schedule L, Part IV</i>	28c 29		X
29 30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation	29		- 23
30	contributions? If "Yes," complete Schedule M	30		x
31	Did the organization liquidate, terminate, or dissolve and cease operations?	30		
51	If "Yes," complete Schedule N, Part I	31		x
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete	51		
	Schedule N, Part II	32		x
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		x
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and			
•••	Part V, line 1	34		x
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b				
	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?			
	If "Yes," complete Schedule R, Part V, line 2	36		x
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		x
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?			
	Note. All Form 990 filers are required to complete Schedule O	38	Х	

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Pa	rt V Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response or note to any line in this Part V					
					Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	12			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0			
с	Did the organization comply with backup withholding rules for reportable payments to vendors and re	eporta	ble gaming			
	(gambling) winnings to prize winners?			1c	Х	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,					
	filed for the calendar year ending with or within the year covered by this return	2a	0			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax return	ms?		2b		
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions	s)				
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?			3a		X
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule	Ο		3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other	author	ity over, a			
	financial account in a foreign country (such as a bank account, securities account, or other financial	accou	nt)?	4a	Х	
b	If "Yes," enter the name of the foreign country: ► HONG KONG					
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial A	ccoun	ts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		X
	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transa			5b		X
с	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
	Does the organization have annual gross receipts that are normally greater than \$100,000, and did th					
	any contributions that were not tax deductible as charitable contributions?			6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contribut					
	were not tax deductible?		-	6b		
7	Organizations that may receive deductible contributions under section 170(c).					
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and ser	vices p	rovided to the payor?	7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it w					
	to file Form 8282?			7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year					
			xt?	7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contr			7f		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Fo	orm 88	99 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization	ation fi	le a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained					
	sponsoring organization have excess business holdings at any time during the year?			8		
9	Sponsoring organizations maintaining donor advised funds.					
а	Did the sponsoring organization make any taxable distributions under section 4966?			9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?			9b		
10	Section 501(c)(7) organizations. Enter:					
а	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	Section 501(c)(12) organizations. Enter:					
а	Gross income from members or shareholders	11a				
	Gross income from other sources (Do not net amounts due or paid to other sources against					
	amounts due or received from them.)	11b				
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form	10417	?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	Section 501(c)(29) qualified nonprofit health insurance issuers.					
а	Is the organization licensed to issue qualified health plans in more than one state?			13a		
	Note. See the instructions for additional information the organization must report on Schedule O.					
b	Enter the amount of reserves the organization is required to maintain by the states in which the					
	organization is licensed to issue qualified health plans	13b				
с	Enter the amount of reserves on hand	13c				
	Did the completion metric complete for independent of the termination of			14a		X
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedul			14b		
-				-	000	10040

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Form 990 (2016)

Form **990** (2016)

Form	990	(2016)
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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

	Check if Schedule O contains a response or note to any line in this Part VI				
Sec	tion A. Governing Body and Management				_
				Yes	
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	25		
	If there are material differences in voting rights among members of the governing body, or if the governing				
	body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b	Enter the number of voting members included in line 1a, above, who are independent	1b	25		I
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship	hip with any other			l
	officer, director, trustee, or key employee?		2		
3	Did the organization delegate control over management duties customarily performed by or under	the direct supervision			Ι
	of officers, directors, or trustees, or key employees to a management company or other person?		3		
4	Did the organization make any significant changes to its governing documents since the prior Form				I
5	Did the organization become aware during the year of a significant diversion of the organization's a				
6	Did the organization have members or stockholders?				
	Did the organization have members, stockholders, or other persons who had the power to elect or				1
	more members of the governing body?		7a		
h	Are any governance decisions of the organization reserved to (or subject to approval by) members,		/u		1
b			76		
~	persons other than the governing body?		7b		┨
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the y			v	ļ
a	The governing body?		<u>8a</u>	X	
	Each committee with authority to act on behalf of the governing body?		8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be re-				
	organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9		
ec	tion B. Policies (This Section B requests information about policies not required by the Internal	Revenue Code.)		-	
				Yes	
	Did the organization have local chapters, branches, or affiliates?		10a		
b	If "Yes," did the organization have written policies and procedures governing the activities of such	chapters, affiliates,			
	and branches to ensure their operations are consistent with the organization's exempt purposes?		10b		
1a	Has the organization provided a complete copy of this Form 990 to all members of its governing bo	ody before filing the form	? 11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.				
2a	Did the organization have a written conflict of interest policy? If "No," go to line 13		12a	X	
	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise			Х	
	Did the organization regularly and consistently monitor and enforce compliance with the policy? If				
-	in Schedule O how this was done		12c	x	
3	Did the organization have a written whistleblower policy?			X	
4	Did the organization have a written document retention and destruction policy?			X	
5	Did the process for determining compensation of the following persons include a review and appro				
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision			v	l
	The organization's CEO, Executive Director, or top management official			X	4
b	Other officers or key employees of the organization		15b		
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).				l
6a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrang	ement with a			ļ
	taxable entity during the year?		16a		
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evalu	uate its participation			I
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the org	anization's			
	exempt status with respect to such arrangements?		16b		
ec	tion C. Disclosure				
7	List the states with which a copy of this Form 990 is required to be filed NONE				
8	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990)-T (Section 501(c)(3)s on	lv) availat	ble	
	for public inspection. Indicate how you made these available. Check all that apply.		,, J		
		in in Schedule O)			
9	Describe in Schedule O whether (and if so, how) the organization made its governing documents, or		and finan	ncial	
J		connict of interest policy,	anu iiidi	oidi	
•	statements available to the public during the tax year.	a a lea a an al ua a a suata a 🔊			
0	State the name, address, and telephone number of the person who possesses the organization's to VICE DEFINIT - 203-432-0880	DOOKS and records:			
	VICE PRESIDENT - 203-432-0880				
	442 TEMPLE STREET, NEW HAVEN, CT 06510		-	000	_
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	6				
5 O C	515 788076 2110 2016.05040 YALE-CHINA ASS	SOCIATION, IN	IC 21:	10_	

Part VII	Compensation of Officers,	Directors,	Trustees,	Key E	mployees,	Highest	Compensate	d
	Employees, and Independe	ent Contrac	ctors					

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

• List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (Ď), (E), and (F) if no compensation was paid.

• List all of the organization's current key employees, if any. See instructions for definition of "key employee."

• List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received report-

able compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations. • List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

		T				npc	1341			
(A)	(B)			((D)	(E)	(F)
Name and Title	Average		not c	Pos heck	more	than		Reportable	Reportable	Estimated
	hours per			ess pe nd a d				compensation	compensation	amount of
	week					1		from	from related	other
	(list any	Individual trustee or director						the organization	organizations	compensation
	hours for related	e or d	ee			sated		(W-2/1099-MISC)	(W-2/1099-MISC)	from the organization
	organizations	ruste	l trus		ee	npen		(00-2/1033-10100)		and related
	below	dualt	Institutional trustee	_	Key employee	Highest compensated employee	5			organizations
	line)	ndivic	nstitu	Officer	ey er	mplo	Former			er gan Lanerre
(1) LINA AYENEW	2.00	-	-		-		<u> </u>			
TRUSTEE		x						0.	0.	0.
(2) JOAN CHANNICK	2.00	<u> </u>						• •		
TRUSTEE		x						0.	0.	0.
(3) DEBORAH S. DAVIS	2.00	 								
TRUSTEE		x						0.	0.	0.
(4) ALONZO EMERY	2.00	<u> </u>								
TRUSTEE		x						0.	0.	0.
(5) BRIAN GU	2.00	\vdash								
TRUSTEE		x						0.	0.	0.
(6) SALLY A. HARPOLE	2.00									
TRUSTEE		x						0.	0.	0.
(7) MARY HU	2.00	┢								
TRUSTEE		x						0.	0.	0.
(8) JOANNE IENNACO	2.00									
TRUSTEE		X						0.	0.	0.
(9) JONATHAN LOWET	2.00									
TRUSTEE		X						0.	0.	0.
(10) PETER MAN	2.00									
TRUSTEE		X						0.	0.	0.
(11) STEPHEN ROACH	2.00									
TRUSTEE		X						0.	0.	0.
(12) RICHARD SKOLNIK	2.00									
TRUSTEE		X						0.	0.	0.
(13) PETER STEIN	2.00									
TRUSTEE		X						0.	0.	0.
(14) HENRY S. TANG	2.00									
TRUSTEE		X						0.	0.	0.
(15) JOHN C. TANG	2.00									
TRUSTEE		X						0.	0.	0.
(16) QINAN TANG	2.00									
TRUSTEE		X						0.	0.	0.
(17) BILL LI CHIEN TSAI	2.00									
TRUSTEE		Х						0.	0.	0.
632007 11-11-16										Form 990 (2016)

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Part VII Section A. Officers, Directors, Trus	tees, Key Em	ploy	ees,	an	d Hi	ighe	st C	Compensated Employe	es (continued)				
(A)	(B)				C)			(D)	(E)			(F)	
Name and title	Average	(da			itior	ן than than	<u></u>	Reportable	Reportable		Est	imate	ed
	hours per	box	, unles	ss pe	rson	is bot	h an	compensation	compensation		am	ount	of
	week		cer an	dad	irecto	or/trus	tee)	from	from related		С	other	
	(list any	rector						the	organizations		comp		
	hours for related	or di	æ			ated		organization	(W-2/1099-MISC)			om th	
	organizations	ustee	truste		æ	bens		(W-2/1099-MISC)			•	inizat	
	below	ual tr	ional		ploye	t con /ee	Ι.				orgar	relat nizati	
	line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former				orgai	nzati	0113
(18) MING THOMPSON	2.00	-	-	0	¥	Ξē	<u> </u>			+			
TRUSTEE		x						0.	0				0.
(19) ANITA QINGLI WANG	2.00									╇			
TRUSTEE		x						0.	0				0.
(20) GARY ZHOU	2.00									╇			<u> </u>
TRUSTEE	2.00	x						0.	0				0.
(21) XIZHOU ZHOU	2.00								•	╇			<u> </u>
TRUSTEE	2.00	x						0.	0				0.
(22) CHRISTIAN F. MURCK	7.00								•	-			••
CHAIR	7.00			х				0.	0				0.
	7.00			<u></u>				0.	0	-			0.
(23) DOUGLAS M. FERGUSON	7.00			х				0.	0				0.
VICE-CHAIR	7.00			Λ				0.	0	-			0.
(24) ANN B. WILLIAMS	7.00			х				0.	0				0.
SECRETARY	7.00			~				0.	0	•			0.
(25) PING LIANG	/.00			37					0				^
TREASURER	40.00			Х				0.	0	•			0.
(26) DAVID YOUTZ	40.00			37				126 107	0		1 0	、	70
PRESIDENT				Х				136,197.		•	19	<u>, 8</u>	72.
1b Sub-total								136,197.	-	•		9,8	72.
c Total from continuation sheets to Part VI								0.		•	- 1 6		0.
d Total (add lines 1b and 1c)								136,197.	-	•		9,8	72.
2 Total number of individuals (including but n	ot limited to th	lose	liste	d al	bov	e) wł	no r	eceived more than \$100	,000 of reportable				
compensation from the organization													
										_	`	Yes	No
3 Did the organization list any former officer,			e, ke	y er	nplo	oyee	, or	highest compensated e	mployee on				
line 1a? If "Yes," complete Schedule J for s	uch individual									. L	3		X
4 For any individual listed on line 1a, is the su									the organization				
and related organizations greater than \$150	0,000? If "Yes,	" CO	mple	ete S	Sche	edule	e J i	for such individual		. L	4	Х	
5 Did any person listed on line 1a receive or a	accrue comper	nsat	ion fi	rom	any	y unr	elat	ted organization or indiv	dual for services				
rendered to the organization? If "Yes," com	plete Schedul	e J f	or sı	ıch	pers	son .					5		X
Section B. Independent Contractors													
1 Complete this table for your five highest co	mpensated ind	depe	ende	nt c	ont	racto	ors t	that received more than	\$100,000 of compe	nsa	tion fr	om	
the organization. Report compensation for	the calendar y	ear e	endir	ng v	vith	or w	rithir	n the organization's tax	year.				
(A)				_				(B)		_	(C)		
Name and business	address	NC	ONE	6				Description of s	ervices	Co	mpen	satio	n
2 Total number of independent contractors (i	ncluding but n	ot li	nited	d to	tho	se li	stec	d above) who received m	nore than				
\$100,000 of compensation from the organized	zation 🕨					0							
										F	orm 9	990 (2016)

			/		SSOCIATIO	N, INC.		06-0646	5971 Page 9
Pa	rt V	/11							
			Check if Schedule O cont	tains a respons	e or note to any lir				
						(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
nts nts	1	а	Federated campaigns	1a					
Gra			Membership dues						
Arr, (Fundraising events						
ilar Gif			Related organizations						
Sin',			Government grants (contribut						
utic		f	All other contributions, gifts, gran		,376,368.				
Contributions, Gifts, Grants and Other Similar Amounts		~	similar amounts not included abo						
Son			Noncash contributions included in lines Total. Add lines 1a-1f			2,376,368.			
<u> </u>				<u></u>	Business Code				
e,	2	а							
e vic		b							
Se		с							
ran eve		d							
Program Service Revenue		е							
9		f	All other program service reve						
		g	Total. Add lines 2a-2f						
	3		Investment income (including			694,032.			694,032.
			other similar amounts)			094,032.			094,032
	4 5		Income from investment of ta Royalties	•	•				
	5		noyanies	(i) Real	(ii) Personal				
	6	а	Gross rents						
			Less: rental expenses						
			Rental income or (loss)						
		d	Net rental income or (loss) .	. <u></u>	►				
	7	а	Gross amount from sales of	(i) Securities					
			assets other than inventory	129,080	•				
		b	Less: cost or other basis	125 000					
		_	and sales expenses		•				
			Gain or (loss) Net gain or (loss)			4,080.	4,080.		
			Gross income from fundraisin			4,000	1,0001		
nue	Ŭ	ŭ	including \$						
eve			contributions reported on line						
жR			Part IV, line 18		22,760.				
Other Revenue		b	Less: direct expenses	I	.0				
Ŭ			Net income or (loss) from fund		►	22,760.			22,760.
	9	а	Gross income from gaming a						
			Part IV, line 19						
			Less: direct expenses Net income or (loss) from gan						
			Gross sales of inventory, less						
	10	u	and allowances						
		b	Less: cost of goods sold						
			Net income or (loss) from sale						
			Miscellaneous Revenu		Business Code				
	11	а							
		b							
		С							
			All other revenue						
		е	Total. Add lines 11a-11d			3,097,240.	4,080.	0	716,792.
	12		Total revenue. See instructions.		····· P	2,021,240.	- ,000•		Form 990 (2016

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Part IX Statement of Functional Expenses

YALE-CHINA ASSOCIATION, INC.

Sect	on 501(c)(3) and 501(c)(4) organizations must com	plete all columns. All oth	ner organizations must co	omplete column (A).	
	Check if Schedule O contains a respor	nse or note to any line in			
	not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations				
	and domestic governments. See Part IV, line 21				
2	Grants and other assistance to domestic				
	individuals. See Part IV, line 22				
3	Grants and other assistance to foreign				
	organizations, foreign governments, and foreign				
	individuals. See Part IV, lines 15 and 16	53,481.	53,481.		
4	Benefits paid to or for members				
5	Compensation of current officers, directors,				
	trustees, and key employees				
6	Compensation not included above, to disqualified				
	persons (as defined under section 4958(f)(1)) and				
	persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	760,886.	650,917.	62,307.	47,662.
8	Pension plan accruals and contributions (include				
	section 401(k) and 403(b) employer contributions)		100 100		
9	Other employee benefits	231,246.	193,694.	22,550.	15,002.
10	Payroll taxes				
11	Fees for services (non-employees):				
а	Management				
b	Legal				
С	Accounting	17,225.	16,785.	440.	
d	Lobbying				
е	Professional fundraising services. See Part IV, line 17				
f	Investment management fees	5,269.	5,269.		
g	Other. (If line 11g amount exceeds 10% of line 25,				
	column (A) amount, list line 11g expenses on Sch 0.)				
12	Advertising and promotion	10 500			
13	Office expenses	10,508.	8,819.	1,689.	
14	Information technology	7,622.	6,939.	683.	
15	Royalties	10 005	10.000		
16	Occupancy	10,935.	10,039.	896.	
17	Travel	214,685.	214,467.	218.	
18	Payments of travel or entertainment expenses				
	for any federal, state, or local public officials				
19	Conferences, conventions, and meetings				
20	Interest				
21	Payments to affiliates	00 101	00 101		
22	Depreciation, depletion, and amortization	23,121.	23,121.	1 110	
23		28,141.	27,029.	1,112.	
24	Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.)				
а	CORE PROGRAM EXPENDITUR	317,121.	317,121.		
b	STIPENDS	183,113.	183,113.		
с	STUDENT EXCHANGE	16,171.	16,171.		
d	GOVERNANCE	10,705.	10,705.		
е	All other expenses				
25	Total functional expenses. Add lines 1 through 24e	1,890,229.	1,737,670.	89,895.	62,664.
26	Joint costs. Complete this line only if the organization				
	reported in column (B) joint costs from a combined				
	educational campaign and fundraising solicitation.				

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_____ if following SOP 98-2 (ASC 958-720)

Check here

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Form 990 (2016)

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	3	Pledges and grants receivable, net		····· L	54,917.	3	
	4	Accounts receivable, net				4	50,000.
	5	Loans and other receivables from current and fo					
		trustees, key employees, and highest compensation					
		Part II of Schedule L		5			
	e	Loans and other receivables from other disquali				5	
	6						
		section 4958(f)(1)), persons described in section					
		employers and sponsoring organizations of sect	tion 501(o	c)(9) voluntary			
ets		employees' beneficiary organizations (see instr).	Complet	te Part II of Sch L		6	
Assets	7	Notes and loans receivable, net				7	
◄	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges			57,869.	9	26,718.
	10a	Land, buildings, and equipment: cost or other					
		basis. Complete Part VI of Schedule D	10a	184,133.			
	b	Less: accumulated depreciation		164,688.	41,275.	10c	19,445.
	11	Investments - publicly traded securities				11	
	12	Investments - other securities. See Part IV, line			15,134,328.	12	15,400,563.
	13	Investments - program-related. See Part IV, line			10,101,0101	13	
	14	Intangible assets				14	
	15	Other assets. See Part IV, line 11			15 /10 700	15	17 661 525
	16	Total assets. Add lines 1 through 15 (must equ			15,410,782.	16	17,661,535.
	17	Accounts payable and accrued expenses			37,754.	17	218,423.
	18	Grants payable				18	
	19	Deferred revenue				19	
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete	Part IV of	Schedule D		21	
ŝ	22	Loans and other payables to current and former	officers,	directors, trustees,			
Liabilities		key employees, highest compensated employee	es, and di	isqualified persons.			
abi		Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrela				23	
	24	Unsecured notes and loans payable to unrelate				24	
	25	Other liabilities (including federal income tax, pa					
	_0	parties, and other liabilities not included on lines					
			,			25	
	06				37,754.	25	218,423.
	26	Total liabilities. Add lines 17 through 25			57,751.	20	210,423.
~		Organizations that follow SFAS 117 (ASC 958					
nces		complete lines 27 through 29, and lines 33 an	a 34.		6 001 767		6,994,658.
an	27	Unrestricted net assets			6,901,767.		
Bal	28	Temporarily restricted net assets	737,765.	28	2,250,460.		
Fund Bala	29		······	7,733,496.	29	8,197,994.	
		Organizations that do not follow SFAS 117 (A	SC 958),	check here ▶			
ç		and complete lines 30 through 34.					
Net Assets or	30	Capital stock or trust principal, or current funds				30	
Ass	31	Paid-in or capital surplus, or land, building, or ec				31	
et ∕	32	Retained earnings, endowment, accumulated in				32	
ž	33	Total net assets or fund balances		F	15,373,028.	33	17,443,112.
	34	Total liabilities and net assets/fund balances			15,410,782.	34	17,661,535.
							Form 990 (2016)

YALE-CHINA ASSOCIATION, INC. Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

Cash - non-interest-bearing

Savings and temporary cash investments

Pledges and grants receivable, net

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(B) End of year

2,164,809.

(A) Beginning of year

122,393.

54,917.

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Pa	rt XI Reconciliation of Net Assets					
	Check if Schedule O contains a response or note to any line in this Part XI		<u></u>			
1	Total revenue (must equal Part VIII, column (A), line 12)	1		3,09		
2	Total expenses (must equal Part IX, column (A), line 25)	2		.,89		
3	Revenue less expenses. Subtract line 2 from line 1	3		20,20		
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	15	5,37		
5	Net unrealized gains (losses) on investments	5		86	3,0	73.
6	Donated services and use of facilities	6				
7	Investment expenses	7				
8	Prior period adjustments	8				
9	Other changes in net assets or fund balances (explain in Schedule O)	9				0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33,					
	column (B))	10	17	7,44	3,1	12.
Pa	rt XII Financial Statements and Reporting					
	Check if Schedule O contains a response or note to any line in this Part XII		<u></u>			X
					Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other					
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule	О.				
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?			2a		Х
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	d on a				
	separate basis, consolidated basis, or both:					
	Separate basis Consolidated basis Both consolidated and separate basis					
b	Were the organization's financial statements audited by an independent accountant?			2b	Х	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separat	e basis	3,			
	consolidated basis, or both:					
	X Separate basis Consolidated basis Both consolidated and separate basis					
с	c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit,					
	review, or compilation of its financial statements and selection of an independent accountant?					
	If the organization changed either its oversight process or selection process during the tax year, explain in Sch	edule (Э.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Si	ngle Au	Jdit			
	Act and OMB Circular A-133?			3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the requ	ired au	ıdit			
	or audits, explain why in Schedule O and describe any steps taken to undergo such audits			3b		

Form **990** (2016)

YALE-CHINA ASSOCIATION, INC.

Form 990 (2016)

SCHEDULE A

(Form	990	or	990-	·ΕΖ
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Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section

4947(a)(1) nonexempt charitable trust. Attach to Form 990 or Form 990-EZ.

Open	to	Public
Ins	bed	ction

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OMB No. 1545-0047

16

Department of the Treasury Internal Revenue Service

Name of the or

The organizatior

A chi

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Part I

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Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.is.gov/it	5111330.	
e organization	Employer	identification number
YALE-CHINA ASSOCIATION, INC.		6-0646971
Reason for Public Charity Status (All organizations must complete this part.) See instruction	IS.	
tion is not a private foundation because it is: (For lines 1 through 12, check only one box.)		
church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).		
school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)		
hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).		

A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state:

5	An organization operation	ated for the benefit of a college or university owned or operated by a governmental unit described in
	section 170(b)(1)(A)	(iv). (Complete Part II.)

- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- Х 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)

9	An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college
	or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or
	university:

10	An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from
	activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment
	income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975.
	See section 509(a)(2). (Complete Part III.)

- 11 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving а the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.
 - Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having b control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.
 - Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, С its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.
 - d J Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.
 - Check this box if the organization received a written determination from the IRS that it is a Type II, Type III, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - Enter the number of supported organizations f

g Provi	de the following informatior	about the supporte	ed organization(s).				
(i)	Name of supported	(ii) EIN	(iii) Type of organization	(iv) Is the orga in your governi	nization listed	(v) Amount of monetary	(vi) Amount of other
	organization		(described on lines 1-10	Yes	No	support (see instructions)	support (see instructions)
			above (see instructions))	100	110		
Total							

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. 632021 09-21-16 Schedule A (Form 990 or 990-EZ) 2016 13

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Schedule A (Form 990 or 990-EZ) 2016 YALE-CHINA ASSOCIATION, INC. Part II

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Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

See	ction A. Public Support								
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total		
1	Gifts, grants, contributions, and								
	membership fees received. (Do not								
	include any "unusual grants.")	1373662.	709,683.	1270877.	730,006.	2376368.	6460596.		
2	Tax revenues levied for the organ-								
	ization's benefit and either paid to								
	or expended on its behalf								
3	The value of services or facilities								
	furnished by a governmental unit to								
	the organization without charge								
4	Total. Add lines 1 through 3	1373662.	709,683.	1270877.	730,006.	2376368.	6460596.		
5	The portion of total contributions								
	by each person (other than a								
	governmental unit or publicly								
	supported organization) included								
	on line 1 that exceeds 2% of the								
	amount shown on line 11,								
	column (f)								
6	Public support. Subtract line 5 from line 4.						6460596.		
See	ction B. Total Support								
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total		
7	Amounts from line 4	1373662.	709,683.	1270877.	730,006.	2376368.	6460596.		
8	Gross income from interest,								
	dividends, payments received on								
	securities loans, rents, royalties								
	and income from similar sources \dots	605,413.	610,394.	645,918.	672,888.	694,032.	3228645.		
9	Net income from unrelated business								
	activities, whether or not the								
	business is regularly carried on								
10	Other income. Do not include gain								
	or loss from the sale of capital								
	assets (Explain in Part VI.)								
11	Total support. Add lines 7 through 10						9689241.		
12	Gross receipts from related activities,	etc. (see instruction	ons)			12			
13	First five years. If the Form 990 is for	r the organization's	s first, second, thir	d, fourth, or fifth ta	ax year as a sectio	n 501(c)(3)			
_	organization, check this box and stor	here					▶∟		
See	ction C. Computation of Publ	ic Support Pe	rcentage						
	Public support percentage for 2016 (14	66.68 %		
	Public support percentage from 2015					15	60.80 %		
1 6a	33 1/3% support test - 2016. If the c	-							
	stop here. The organization qualifies						► X		
b	33 1/3% support test - 2015. If the c						nis box		
	and stop here. The organization qual								
17a	a 10% -facts-and-circumstances test - 2016. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more,								
	and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization								
	meets the "facts-and-circumstances"	-	-	• • • •					
b	10% -facts-and-circumstances tes	t - 2015. If the org	anization did not o	check a box on line	e 13, 16a, 16b, or ⁻	17a, and line 15 is	10% or		
	more, and if the organization meets the						,		
	organization meets the "facts-and-cire								
18	Private foundation. If the organization	n did not check a	box on line 13, 16	a, 16b, 17a, or 17t					
					Soho	dule & (Form 990	or 000_E7\ 2016		

Schedule A (Form 990 or 990-EZ) 2016

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Schedule A (Form 990 or 990-EZ) 2016 YALE-CHINA ASSOCIATION, INC. Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

	ndar year (or fiscal year beginning in) 🕨	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e	e) 2016	(f) Total	
1	Gifts, grants, contributions, and								
	membership fees received. (Do not								
	include any "unusual grants.")								
2	Gross receipts from admissions, merchandise sold or services per- formed, or facilities furnished in								
	any activity that is related to the organization's tax-exempt purpose								
3	Gross receipts from activities that								
	are not an unrelated trade or bus- iness under section 513								
4	Tax revenues levied for the organ-								
	ization's benefit and either paid to or expended on its behalf								
5	The value of services or facilities								
5	furnished by a governmental unit to								
	the organization without charge								
6	Total. Add lines 1 through 5								
7a	Amounts included on lines 1, 2, and								
	3 received from disqualified persons				ļ				
b	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year								
~	Add lines 7a and 7b								
<u>0</u>	Public support. (Subtract line 7c from line 6.)								
	ndar year (or fiscal year beginning in)	(-) 0010	(1-) 0010	(-) 0014	(4) 0015	1	1 0010		
	Amounts from line 6	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(6	e) 2016	(f) Total	
	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources								
b	Unrelated business taxable income								
	(less section 511 taxes) from businesses acquired after June 30, 1975								
	Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on								
2	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)								
3	Total support. (Add lines 9, 10c, 11, and 12.)								
4	First five years. If the Form 990 is for	the organization'	s first, second, thi	rd, fourth, or fifth t	ax year as a sectio	on 501(c)(3) organiz	ation,	
						<u></u>)	
Sec	tion C. Computation of Public	c Support Pe	ercentage						
5	Public support percentage for 2016 (I	ne 8, column (f) d	livided by line 13,	column (f))		15			%
16	Public support percentage from 2015	Schedule A, Part	III, line 15			16			%
Sec	tion D. Computation of Invest	stment Incom	e Percentage)					
17	Investment income percentage for 20	16 (line 10c, colu	mn (f) divided by li	ne 13, column (f))		17			%
	Investment income percentage from 2			, ("		18			%
	33 1/3% support tests - 2016. If the						6 and line 1	7 is not	
	more than 33 1/3%, check this box a	nd stop here. The	e organization qua	lifies as a publicly	supported organiz	ation		▶[
b	33 1/3% support tests - 2015. If the line 18 is not more than 33 1/3%, che	•							
20	Private foundation. If the organizatio								
	3 09-21-16) or 990-EZ) 2	016
				15			-		
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1

2

3a

3b

3c

4a

4b

4c

5a

5b

5c

6

7

8

9a

9b

9c

10a

10b

Yes

No

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in **Part VI** how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If* "Yes," *answer* (*b*) *and* (*c*) *below.*
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- **c** Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," *and if you checked 12a or 12b in Part I, answer (b) and (c) below.*
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- **c** Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If* "Yes," *explain in* **Part VI** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in Part VI.*
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If* "Yes," *complete Part I of Schedule L (Form 990 or 990-EZ).*
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- **9a** Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If* "*Yes*," *provide detail in* **Part VI.**
- **b** Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If* "Yes," *provide detail in* **Part VI.**
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in **Part VI.**
- **10a** Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If* "Yes," *answer 10b below.*
 - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

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Schedule A (Form 990 or 990-EZ) 2016 YALE-CHINA ASSOCIATION, INC. Part IV Supporting Organizations (continued)

			V	
			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)			
	below, the governing body of a supported organization?	11a		<u> </u>
	A family member of a person described in (a) above?	11b		
	A 35% controlled entity of a person described in (a) or (b) above?If "Yes" to a, b, or c, provide detail in Part VI.	11c		
Sec	tion B. Type I Supporting Organizations			
			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to			
	regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the			
	tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or			
	controlled the organization's activities. If the organization had more than one supported organization,			
	describe how the powers to appoint and/or remove directors or trustees were allocated among the supported			
	organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in			
	Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
	supervised, or controlled the supporting organization.	2		
Sec	tion C. Type II Supporting Organizations			
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors			
	or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
	the supported organization(s).	1		
Sec	tion D. All Type III Supporting Organizations			
			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			
	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
	organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By reason of the relationship described in (2), did the organization's supported organizations have a			
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
	supported organizations played in this regard.	3		
Sec	tion E. Type III Functionally Integrated Supporting Organizations			
1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the yea(see instructions).			
а	The organization satisfied the Activities Test. Complete line 2 below.			
b	The organization is the parent of each of its supported organizations. Complete line 3 below.			
с	The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see inst	ructions).	
2	Activities Test. Answer (a) and (b) below.		Yes	No
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of			
	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined			
	that these activities constituted substantially all of its activities.	2a		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more			
	of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the			
	reasons for the organization's position that its supported organization(s) would have engaged in these			
	activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. Answer (a) and (b) below.			
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or			
	trustees of each of the supported organizations? Provide details in Part VI.	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each			
	of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.	3b		
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Schedule A	(Form 990 or 990-EZ) 2016	YALE-CHINA	ASSOCIATION,	INC.
Part V	Type III Non-Functio	nally Integrated	509(a)(3) Supporting	g Organizations

1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or			
collection of gross income or for management, conservation, or			
maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see			
instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other			
factors (explain in detail in Part VI):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,			
see instructions)	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		
Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to			
emergency temporary reduction (see instructions)	6		
7 Check here if the current year is the organization's first as a non-functional	v integrate	ed Type III supporting org	anization (see

instructions).

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Section D- Distributions Current Year 1 Amounts paid to supported organizations to accomplish exempt purposes of supported organizations, in excess of income from activity Image: Complex Compl	Pa	rt V Type III Non-Functionally Integrated 509	(a)(3) Supporting Orga	anizations (continued)	
2 Anounts paid to perform activity tutthere seempt purposes of supported organizations, in excess of income from activity 3 Administrative expenses paid to accompile exempt purposes of supported organizations 4 Anounts paid to acquire exempt use assets 5 Cualified set saided amounts (prior IRS approval required) 6 Other distributions (discribe in Part VI). See instructions 7 Total annual distributions. Add lines 1 through 6 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions 9 Distribution additional details of the organization is responsive (provide details in Part VI). See instructions 9 Distributable amount for 2016 from Section C, line 6 10 Line 8 amount divided by Line 9 amount (i) 11 Distributable amount for 2016 from Section C, line 6 (ii) 2 Underdistributions, if any, for years prior to 2016 (reason- able cause required: explaint in Part VI). See instructions (iii) 3 Excess distributions of prory years (i) (iii) 4 From 2013 (i) (ii) 5 Excess distributions of prory years (i) (ii) 6 Prom 2014 (i)	Sect				Current Year
organizations, in excess of income from activity	1	Amounts paid to supported organizations to accomplish exe			
3 Administrative expenses paid to accomplish exempt purposes of supported organizations 4 Amounts paid to acquire exempt use assets	2	Amounts paid to perform activity that directly furthers exemp			
4 Anounts paid to acquire exempt-use assets 5 Qualified set-aside amounts (prior IRS approval required) 6 Other distributions (describe in Part VI). See instructions 7 Total annual distributions. Add lines 1 through 6 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions 9 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions 9 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions 9 Distributions (far on Section C, line 6 10 Line 3 amount divided by Line 9 amount (i) Excess Distributions 1 Distributions, far ony, for years prior to 2016 (reason able cause required - explain in Part VI). See instructions 3 Excess distributions of prior years 4 From 2013 5 Form 2013 6 From 2011 not applied (see instructions) 1 Reameder. Subtract lines 3, 3), and 31 line 31. 4 Distributions for 2016 from Section D, line 7: 6 Applied to underdistributions of prior years 7		organizations, in excess of income from activity			
6 Outlified set aside amounts (prior IRS approval required) 6 Other distributions (describe in Part VI). See instructions 7 Total annual distributions. Add lines 1 through 6 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions 9 Distributable amount for 2016 from Section C, line 6 10 Line 8 amount divided by Line 9 amount (i) Excess Distributions 9 Distributable amount for 2016 from Section C, line 6 1 Distributable amount for 2016 from Section C, line 6 2 Underdistributions, if any, for years prior to 2016 (reason-able cause required explain in Part VI). See instructions 3 Excess distributions carryover, if any, to 2016: a	3	Administrative expenses paid to accomplish exempt purpose	es of supported organization	S	
6 Other distributions (describe in Part VI). See instructions 7 Total annual distributions. Add lines 1 through 6 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions 9 Distributable amount for 2016 from Section C, line 6 10 Line 8 amount divided by Line 9 amount (i) 9 Underdistributions (ii) Underdistributions 2 Underdistributions, if any, for years prior to 2016 (reason- able cause required-explain in Part VI). See instructions iii) Distributable amount for 2016 from Section C, line 6 2 Underdistributions, if any, for years prior to 2016 (reason- able cause required-explain in Part VI). See instructions iiii) iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	4	Amounts paid to acquire exempt-use assets			
7 Total annual distributions. Add lines 1 through 6 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part V). See instructions 9 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part V). See instructions 9 Distributable amount for 2016 from Section C, line 6 10 Line 8 amount divided by Line 9 amount (i) Underdistributions 1 Distributable amount for 2016 from Section C, line 6 2 Underdistributions carryover, if any, to 2016 (reason-able cause required explain in Part V). See instructions 3 Excess distributions carryover, if any, to 2016: a b b	5	Qualified set-aside amounts (prior IRS approval required)			
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions 9 Distributable amount for 2016 from Section C, line 6 10 Line 8 amount divided by Line 9 amount 8 (i) 9 Distributable amount for 2016 from Section C, line 6 10 Distributable amount for 2016 from Section C, line 6 2 Underdistributions 3 Excess distributions, if any, for years prior to 2016 (reasonable cause required -explain in Part VI). See instructions 3 Excess distributions carryover, if any, to 2016: 4	6	Other distributions (describe in Part VI). See instructions			
(provide details in Part VD. See instructions 9 Distributable amount for 2016 from Section C, line 6 10 Line 8 amount divided by Line 9 amount (i) Excess Distributions (ii) Section E - Distribution Allocations (see instructions) Excess Distributions (iii) 2 Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions a a a a a b c From 2013 a d From 2013 a a g Applied to underdistributions of prior years a a f Total of lines 3a through e a a g Applied to underdistributions of prior years a a i C arrow from 2011 not applied (sei instructions) a a g Applied to underdistributions of prior years a b i C arrow or from 2011 not applied (sei instructions) a a j Remainder, Subtract lines 3a, 3n, and 3i from 3i. a a 4 Distributions for 2016 from Section D, line 7: s a	7	Total annual distributions. Add lines 1 through 6			
9 Distributable amount for 2016 from Section C, line 6 (i) Underdistributions 10 Line 8 amount divided by Line 9 amount (ii) Underdistributions 9 Distributable amount for 2016 from Section C, line 6 (iii) Underdistributions 1 Distributable amount for 2016 from Section C, line 6 (iii) Underdistributions 2 Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions 3 3 Excess distributions carryover, if any, to 2016: 3 a 5 5 b 5 5 c From 2013 5 f Total of lines 3a through e 5 g Applied to underdistributions of prior years 6 f Total of lines 30, and 3i from 3f. 5 d Abstributions for 2016 from Section D, 1 line 7: \$ 8 1 a Applied to underdistributions of prior years 6 1 b Applied to 2016 distributions of prior years 1 1 d Browning underdistributions of prior years 1 1 g Applied to underdi	8	Distributions to attentive supported organizations to which the	he organization is responsive	9	
10 Line 8 amount divided by Line 9 amount (i) (ii) (iii) (iii) Distributions Pre-2016 Pre-2016<		(provide details in Part VI). See instructions			
(i) (ii) (iii) Section E - Distribution Allocations (see instructions) Excess Distributions (iii) Distributable Amount for 2016 1 Distributable amount for 2016 from Section C, line 6 Image: Section E - Distributions 3 Excess distributions carryover, if any, to 2016: Image: Section E - Distributions Image: Section E - Distribution Section E - Distributions Image: Section E - D	9	Distributable amount for 2016 from Section C, line 6			
Section E - Distribution Allocations (see instructions) Excess Distributions Underdistributions Pre-2016 Distributable Amount for 2016 1 Distributions, if any, for years prior to 2016 (reason- able cause required- explain in Part VI). See instructions Image: Comparison of Compa	10	Line 8 amount divided by Line 9 amount	i		
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions 3 Excess distributions carryover, if any, to 2016: a	Sect	ion E - Distribution Allocations (see instructions)		Underdistributions	Distributable
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions 3 Excess distributions carryover, if any, to 2016: a	1	Distributable amount for 2016 from Section C. line 6			
able cause required- explain in Part VI). See instructions 3 Excess distributions carryover, if any, to 2016: a	-				
3 Excess distributions carryover, if any, to 2016: a	-				
a a b c c From 2013 d From 2014 e From 2015 f Total of lines 3a through e g Applied to underdistributions of prior years h Applied to 2016 distributable amount i Carryover from 2011 not applied (see instructions) j Remainder. Subtract lines 3g, 3h, and 31 from 3f. 4 Distributions for 2016 from Section D, line 7: s a a Applied to underdistributions of prior years b Applied to 2016 distributable amount c Remainder. Subtract lines 4a and 4b from 4 c Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions 6 Remaining underdistributions for years. and 4b from line 1. For result greater than zero, explain in Part VI. See instructions 7 Excess distributions carryover to 2017. Add lines 3j and 4c. and 4b 8 Breakdown of line 7: a a b Excess from 2013 c c Excess from 2013 <	3				
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7 Excess distributions carryover to 2017. Add lines 3j and 4c Image: Constraint of the state of the		and 4b from line 1. For result greater than zero, explain in			
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Schedule A (Form 990 or 990-EZ) 2016

632027 09-21-16

Part VI Supplemental Informatic Part IV, Section A, lines 1, 2, 3b, line 1; Part IV, Section D, lines 2 Section D, lines 5, 6, and 8; and (See instructions.)	, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 1 and 3; Part IV, Section E, lines	equired by Part II, line ⁻ 1a, 11b, and 11c; Part 1c, 2a, 2b, 3a, and 3b	10; Part II, line 17a or 17 IV, Section B, lines 1 an ; Part V, line 1; Part V, Si	d 2; Part IV, Section C, ection B, line 1e; Part V
32028 09-21-16			Schedule A	(Form 990 or 990-EZ)
50515 788076 2110	2016.05040	20 YALE-CHINA	ASSOCIATION	, INC 2110_

SCI	HEDULE D	Supplement	al Financial Statements		OMB No. 1545-0047
	n 990)	Complete if the org	anization answered "Yes" on Form 990.		2016
Depart	ment of the Treasury), 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.		Open to Public
nterna	Revenue Service		rm 990) and its instructions is at www.irs.gov		
Nam	e of the organizat	ion YALE-CHINA ASSOCIA		Em	ployer identification number $06-0646971$
Par	tl Organiz		ed Funds or Other Similar Funds or	Accor	
1 41		on answered "Yes" on Form 990, Part IV, lir			
	0.9424		(a) Donor advised funds	(b) Fun	ds and other accounts
1	Total number at e	end of year			
2		of contributions to (during year)			
3	Aggregate value of	of grants from (during year)			
4	Aggregate value a	at end of year			
5	-		writing that the assets held in donor advised fu		
			exclusive legal control?		Yes No
6	•	C	advisors in writing that grant funds can be used	-	
			or donor advisor, or for any other purpose conf	-	
Par	impermissible priv		ganization answered "Yes" on Form 990, Part I		
1		servation easements held by the organizat	-	v, iirio <i>i</i>	
-		n of land for public use (e.g., recreation or e	· _ · · · · ·	ly impoi	tant land area
		of natural habitat	Preservation of a certified	historic	structure
	Preservatio	n of open space			
2	Complete lines 2a	a through 2d if the organization held a quali	fied conservation contribution in the form of a	conserv	ation easement on the last
	day of the tax yea				Held at the End of the Tax Year
а				2a	
b	•				
с			ructure included in (a)	2c	
d			after 8/17/06, and not on a historic structure	04	
3			eleased, extinguished, or terminated by the organization of the second sec	2d	l a during the tax
5	year ►	reation easements mounied, transiened, re	heased, extinguished, or terminated by the org	anzatio	r during the tax
4		where property subject to conservation ea	sement is located		
5		ation have a written policy regarding the pe			
	violations, and en	forcement of the conservation easements	it holds?		
6	Staff and volunte	er hours devoted to monitoring, inspecting	, handling of violations, and enforcing conserva		
	▶				
7		ses incurred in monitoring, inspecting, han	dling of violations, and enforcing conservation	easeme	nts during the year
•	►\$				
8			ve satisfy the requirements of section 170(h)(4)		🗌 Yes 🗌 No
9			ion easements in its revenue and expense stat		
5			tion's financial statements that describes the c		
	conservation ease	ements.			
Par			of Art, Historical Treasures, or Othe	⁻ Simil	ar Assets.
	Complete	if the organization answered "Yes" on Forn	n 990, Part IV, line 8.		
1a	If the organization	n elected, as permitted under SFAS 116 (As	SC 958), not to report in its revenue statement	and bal	ance sheet works of art,
	historical treasure	es, or other similar assets held for public ex	hibition, education, or research in furtherance of	of public	service, provide, in Part XIII,
		otnote to its financial statements that descr			
b			SC 958), to report in its revenue statement and		
			ducation, or research in furtherance of public s	ervice,	provide the following amounts
	relating to these inclusion (ii) Revenue inclusion				¢
					⊅ \$
2	.,		easures, or other similar assets for financial gair		
-	-	punts required to be reported under SFAS 1		., թ. օւ օ	
а				►	\$
b					\$
		Reduction Act Notice, see the Instruction			Schedule D (Form 990) 2016

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25 2016.05040 YALE-CHINA ASSOCIATION, INC 2110___1

Part IIII Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assetscontinued) 4 Using the organization acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply): a Public exhibition d Lan or exchange programs b Scholarly research e Other c Presention for three generations e Other e Daming the year, did the organization solid or rockine denalation is exempt purpose in Part XIII. 5 During the year, did the organization solid or rockine denalation accessed or other similar assets f Provide a description of the organization and explain how they further the organization answered "Yes" on Form 990, Part XII. Test on the under after data and the organization and exempt on the organization answered "Yes" on Form 990, Part XII. 1a Is the organization an agent, frustee, custodian or other intermediary for contributions or other assets not included on Form 900, Part XIII. Test on Part XIII. Test on Part XIII. 2a Dot the organization include an anound on Form 900, Part X, line 21, for escens or outstolial accourt liability? Image: test on Part XIII. Test on Part XIII. Test on Part XIII. Test on Part XIII. Test on Part XIII.<			INA ASSOCIA					06-06			ıge 2
cleack at that apply: d Loan or exchange programs a Poble exhibition d Loan or exchange programs b Scholarly research 0 Other	Pa	t III Organizations Maintaining C	ollections of Ar	t, Historical Tr	easures, o	r Othe	r Simila	ar Asse	ts (contin	ued)	
a Public exhibition during the year induced and a set of the organization and and the set of the organization and the organization and the set of the organization and the or	3		on, and other record	s, check any of the	following that	are a sig	gnificant (use of its	collection	items	3
b Scholary research e Other 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII. 5 During the year, did the organization solicit or receive donations of air, historical treasures, or other similar assets to be solid to raise hunds rather than to be maintained as part of the organization answered "Yes" on Form 990, Part IV, line 9, or responded an amount on Form 990, Part X, line 21. 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X, line 21. Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X, line 21. Is the organization anagenet in Part XIII and complete the following table: c Beginning balance 1 Id Id 2a Did the organization include an amount on Form 990, Part X, line 21. Organization include an amount on Form 990, Part X, line 21. Inc 2b Other organization include an amount on Form 990, Part X, line 21. Other organization linclude an amount on Form 990, Part X, line 21. Inc 2b If the organization include an amount on Form 990, Part X, line 21. Inc Inc Inc 2b If the organization include an amount on Form 990, Part X, line 21. Inc Inc Inc 2b											
c Preservation for future generations 4 Provide a description of the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Ves No Part IV Excrement of Custodial Arrangements. Complete if the organization answered "Yes" on Form 980, Part IV, line 9, or reported an amount on Form 980, Part X, line 21. Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 980, Part X? No b If 'Yes," explain the arrangement in Part XIII and complete the following table: Amount Ves No c Beginning balance 1d 1e 1f 1e 1f d Additions during the year 1d 1e 1f 1e 1f 1e 1e 1f 1e 1e 1e 1f 1e	а		d								
Provide a description of the organization's collectors and explain how they further the organization's exempt purpose in Part XIII. During the year, did the organization solicit or receive donations of art, historical resources, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization soluciton? Part W Escrow and Custodial Arrangements. Complete if the organization are wrest of Form 980, Part X, Ine 9, or reported an amount on Form 980, Part X, Ine 21. Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 980, Part X, Ine 21. Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 980, Part X, Ine 21. Is the organization include an amount on Form 980, Part X, Ine 21. If the organization include an amount on Form 980, Part X, Ine 21. Distributions during the year Itel Treat, 'septien the arrangement in Part XIII. Check here if the explanation has been provided on Part XII Part V Endowment Funds. Complete if the organization include an II, 602, 260. Solver explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XII Part V Endowment Funds. Complete if the organization and wrest 'Yes' on Form 980, Part X, Ine 21. Solver organization include an amount on Form 980, Part X, Ine 21. Part V Endowment Funds. Complete if the organization and wrest back (0) Inverses back (0) Inverses back (0) Inverses back (0) Inverses back (0) Foru years back (0) Part V, Ine 10. Part V Endowment Funds. Solver expenditures for facilities ad program Solver expenditures for facilities ad program Solver explain the estimated prevent year one balance (Ine 1g, column (a)) held as: Beard designated or quasiandowment \science year Solver balance Solver exploal times 23. So the explanation Solver the e	b		e	Other							
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top out for raise funds rather than to be maintained as part of the organization's collection? Yes No. Part IV Escrow and Custodial Arrangements. Complete if the organization answered 'Yes' on Form 990, Part N, line 9, or reported an amount on Form 990, Part X, line 21. 14 Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 980, Part X, line 21. Ves No. b If "Yes," explain the arrangement in Part XIII and complete the following table: Amount Image: Complete in Part XIII Image: Complete in Part XIII Ves No. b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII. Image: Complete in Part XIII. <td< th=""><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th>se in Par</th><th>t XIII.</th><th></th><th></th></td<>								se in Par	t XIII.		
Part IV Escrow and Custodial Arrangements. Complete if the organization answered 'Yes' on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21. 1a is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X // Imagenet in Part XIII and complete the following table: Imagenet intermediary for contributions or other assets not included on Form 990, Part X // Imagenet in Part XIII and complete the following table: b If 'Yes,' explain the arrangement in Part XIII and complete the following table: Imagenet in Part XIII and complete the following table: Imagenet in Part XIII and complete the following table: Imagenet in Part XIII and complete the following table: Imagenet in Part XIII and complete the following table: Imagenet in Part XIII and complete it the organization answered 'Yes' on Form 990, Part IV, line 9, or Imagenet in Part XIII and complete it the organization answered 'Yes' on Form 990, Part IV, line 10. Part V Endowment Funds. Complete if the organization answered 'Yes' on Form 990, Part IV, line 10. Imagenet in Part XIII 602, 260. 1a Beginning of year balance [a] Current year [c] Iwo years back (a] Ine organization answered 'Yes' on Form 990, Part IV, line 20. Imagenet in Part XII. 602, 260. 1a Beginning of year balance [a] 50, 976, 64 35, 991, 1, 530, 980, 2, 375, 202, 487, 553, 956, 959, 959, 100, 286, 11, 202, 286, 11, 602, 286, 11, 602, 286, 11, 602, 286, 11, 602, 563, 14, 601, 933, 14, 100, 286, 12, 183, 105, 286, 14, 601, 933, 14, 100, 286, 12, 183, 105, 286, 14, 601, 93, 14,	5			,	,				٦		1
reported an amount on Form 990, Part X, line 21. 1a Is the organization an agent, truste, custodian or other intermediary for contributions or other assets not included on Form 980, Part X? Ves No b If "Yes," explain the arrangement in Part XIII and complete the following table: Amount Image: Complete Technology Complete Tec	De	10 M 10 M									No
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e Distributions during the year 1e f Ending balance 1f 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10. Image: Complete if the organization answered "Yes" on Form 990, Part IV, line 10. 1a Beginning of year balance (a) Current year (b) Prior year (c) Iwo years back (c) Four years back (e) Four years back 1a Beginning of year balance (a) Current year (b) Prior year (c) Iwo years back (c) Four years back 1a Beginning of year balance (a) Current year (b) Prior years (c) Iwo years back (c) Four years back 1a Beginning of year balance (b) Prior year (c) Iwo years back (c) Four years back 1a Grants or scholarships (a) Current year (b) Prior year (c) Iwo years back (c) Four years back a Of ther expenditures for facilities and programs 811, 779 788, 817 923, 083 626, 675 596, 808 f Administrative expenses 15,400,563 14,651,366 14,708,193<											
f Ending balance											
2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No D If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII Yes No Part V Endowment Funds. Complete if the organization answered 'Yes' on Form 990, Part K, line 10. (a) Current year (b) Prior year (c) Two years back (d) Three years back (e) Four years back 1a Beginning of year balance (a) Current year (b) Prior year (c) Two years back (d) Three years back (e) Four years back 1a Beginning of year balance 14, 651, 366. 14, 708, 193. 14, 100, 296. 12, 351, 269. 11, 602, 260. c Cher expenditures for facilities and programs 1, 560, 976. 435, 991. 1, 530, 980. 2, 375, 202. 487, 053. d Grants or scholarships	-										
b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII Part V Endowment Funds. Complete if the organization answered "Yes" on Form 390, Part IV, line 10. 1a Beginning of year balance (a) Current year (b) Prior years (c) Two years back (e) Fur years back 1a Beginning of year balance (a) Current year (b) Prior years (c) Two years back (e) Fur years back 1a Beginning of year balance (a) Current year (b) Prior years (c) Two years back (e) Fur years back 1a Beginning of year balance (a) Current year (c) Two years back (e) Fur years back 1a Contributions (a) Current year (c) Two years back (e) Fur years back 1a Contributions (a) Current year (c) Two years back (e) Fur years back 6 Cher expenditures for facilities (a) Current year (a) Contributions (c) For years and programs 811, 779. 788, 817. 923, 083. 626, 675. 596, 808. f Administrative expenses 15, 400, 563. 14, 651, 366. 14, 708, 193. 14, 100, 296. 12, 183, 105. <td< th=""><th></th><th></th><th></th><th></th><th></th><th></th><th>·</th><th></th><th>Yes</th><th></th><th>No</th></td<>							·		Yes		No
Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10. 1a Beginning of year balance (a) Current year (b) Prior year (c) Two years back (c) Two years back (c) Four years back (c) Zota b Contributions 295, 999. 500. 620, 600. 620, 600. 620, 600. c Net investment earnings, gains, and losses 1, 560, 976. 435, 991. 1, 530, 980. 2, 375, 202. 487, 053. d Grants or scholarships 811, 779. 788, 817. 923, 083. 626, 675. 596, 808. f Administrative expenditures for facilities 811, 779. 788, 817. 923, 083. 626, 675. 596, 808. f Administrative expenditures for facilities 811, 708, 133. 14, 100, 296. 12, 183, 105. g End of year balance 9		-					• • • • • • • •				
1a Beginning of year balance 14,651,366. 14,708,193. 14,100,296. 12,351,269. 11,602,260. b Contributions 295,999. 500. 690,600. c Net investment earnings, gains, and losses 1,560,976. 435,991. 1,530,980. 2,375,202. 487,053. d Grants or scholarships 1 11,602,260. 600,600. 600,600. e Other expenditures for facilities and programs 1,560,976. 435,991. 1,530,980. 2,375,202. 487,053. g End of year balance 15,400,563. 14,651,366. 14,708,193. 14,100,296. 12,183,105. 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: a Board designated or quasi-endowment ▶											
b Contributions 295,999. 500. 690,600. c Net investment earnings, gains, and losses 1,560,976. 435,991. 1,530,980. 2,375,202. 487,053. d Grants or scholarships			(a) Current year	(b) Prior year	(c) Two years	back (d) Three y	ears back	(e) Four	years l	back
c Net investment earnings, gains, and losses 1,560,976. 435,991. 1,530,980. 2,375,202. 487,053. d Grants or scholarships 0 <th>1a</th> <th>Beginning of year balance</th> <th>14,651,366.</th> <th>14,708,193.</th> <th>14,100</th> <th>,296.</th> <th>12,3</th> <th>51,269.</th> <th>11,</th> <th>602,</th> <th>260.</th>	1a	Beginning of year balance	14,651,366.	14,708,193.	14,100	,296.	12,3	51,269.	11,	602,	260.
c Net investment earnings, gains, and losses 1,560,976. 435,991. 1,530,980. 2,375,202. 487,053. d Grants or scholarships	b	Contributions		295,999.				500.		690,	600.
e Other expenditures for facilities and programs 811, 779. 788,817. 923,083. 626,675. 596,808. f Administrative expenses			1,560,976.	435,991.	1,530	,980.	2,3	75,202.		487,	053.
and programs 811,779. 788,817. 923,083. 626,675. 596,808. f Administrative expenses 15,400,563. 14,651,366. 14,708,193. 14,100,296. 12,183,105. g End of year balance 15,400,563. 14,651,366. 14,708,193. 14,100,296. 12,183,105. 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: a Board designated or quasi-endowment ▶ % b Permanent endowment ▶ % % % % c Temporarily restricted endowment ▶ % % % b Permanent endowment ▶ % % (i) unrelated organizations %	d	Grants or scholarships									
f Administrative expenses 15,400,563. 14,651,366. 14,708,193. 14,100,296. 12,183,105. 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: a Board designated or quasi-endowment ▶ % b Permanent endowment ▶ % % c Temporarily restricted endowment ▶ % % Main percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations (i) unrelated organizations 3a(i) X ii) related organizations 3a(ii) X b If "Yes" on line 3a(ii), are the related organization's endowment funds. 3b 4 Peart VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. (d) Book value 0 bscription of property (a) Cost or other (b) Cost or other (c) Accumulated (d) Book value 1a Land 4 4 133. 164, 688. 19, 445. 4 4 4 4	е	Other expenditures for facilities									
g End of year balance 15,400,563. 14,651,366. 14,708,193. 14,100,296. 12,183,105. 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: a Board designated or quasi-endowment ▶ % b Permanent endowment ▶ % % c Temporarily restricted endowment ▶ % mathematication and the possession of the organization that are held and administered for the organization by: % (i) unrelated organizations % % b If "Yes" on line 3a(ii), are the related organization is listed as required on Schedule R?		and programs	811,779.	788,817.	923	,083.	6	26,675.		596,	808.
2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: a Board designated or quasi-endowment ▶% b Permanent endowment ▶% c Temporarily restricted endowment ▶% mthe percentages on lines 2a, 2b, and 2c should equal 100%. 3a a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations (ii) related organizations (ii) related organizations 4 Describe in Part XIII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) basis (other) depreciation depreciation 1a Land b Buildings c Leasehold improvements d Land b Buildings c Leasehold improvements d Land b Buildings		Г									
a Board designated or quasi-endowment ▶% b Permanent endowment ▶% c Temporarily restricted endowment ▶% The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:	g		, ,			,193.	14,1	00,296.	12,	183,	105.
b Permanent endowment ▶ % c Temporarily restricted endowment ▶ % The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations			rent year end balance	e (line 1g, column (a	a)) held as:						
c Temporarily restricted endowment ▶ % The percentages on lines 2a, 2b, and 2c should equal 100%. 3a 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:				_%							
The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations (ii) related organizations (iii) related organizations (ii) related organizations (iii) related organizations (ii) related organizations (iii) related organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. (c) Accumulated depreciation (d) Book value (a) Cost or other basis (investment) (b) Cost or other basis (other) (c) Accumulated depreciation (d) Book value (d) Equipment (d) Equipment (d) Equipment (i) 184,133. (i) 164,688. (i) 19,445. 											
3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: Yes No (i) unrelated organizations 3a(i) X (ii) related organizations 3a(ii) X b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 3b 3d(ii) X 4 Describe in Part XII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. 3b 3b 3b 3b 3c Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. (d) Book value 4book valu	с										
by: (i) unrelated organizations (ii) related organizations b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 4 Describe in Part XIII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) Buildings c Leasehold improvements d Equipment e Other (b) Cost or other (c) Accumulated (c) Ac	0-										
(i) unrelated organizations 3a(i) X (ii) related organizations 3a(ii) X b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 3b 4 Describe in Part XIII the intended uses of the organization's endowment funds. 3b Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (other) (c) Accumulated depreciation 1a Land 1a Land 1a Land 1a Land b Buildings 184,133. 164,688. 19,445. e Other 12.445.	за	· · ·	ssion of the organiza	ition that are held a	ind administere	ed for th	e organiz	ation	Ŀ	Vaa	
(ii) related organizations 3a(ii) X b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 3b 3b 4 Describe in Part XIII the intended uses of the organization's endowment funds. 3b 3b Part VI Land, Buildings, and Equipment. 3b 3b Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. (d) Book value Description of property (a) Cost or other basis (other) (c) Accumulated depreciation 1a Land 4 4 b Buildings 4 4 c Leasehold improvements 4 184,133. 164,688. 19,445. e Other 4 140		-									INO
b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 3b 4 Describe in Part XIII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) (b) Cost or other basis (other) (c) Accumulated depreciation 1a Land 1a Land 1a 1a 1a b Buildings 1a											x
4 Describe in Part XIII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) (b) Cost or other basis (other) (c) Accumulated depreciation 1a Land Land Land Leasehold improvements 184,133. d Equipment 184,133. 164,688. 19,445.	h										
Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) (b) Cost or other basis (other) (c) Accumulated depreciation 1a Land									00		
Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) (b) Cost or other basis (other) (c) Accumulated depreciation 1a Land	_										
basis (investment) basis (other) depreciation 1a Land				, Part IV, line 11a. S	See Form 990,	Part X, I	ine 10.				
1a Land		Description of property						d	(d) Book	value	,
b Buildings	1a	Land	· · · · · · · · · · · · · · · · · · ·	·							
c Leasehold improvements											
d Equipment 184,133. 164,688. 19,445. e Other 19,445. 10,445.											
e Other				18	4,133.	1	64,68	88.	19),44	15.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)											
	Tota	Add lines 1a through 1e. (Column (d) must e	qual Form 990, Part 2	X, column (B), line 1	10c.)				19	,44	15.

Schedule D (Form 990) 2016

632052 08-29-16

Part VII	Investments -	Other Securities.		
Schedule D	(Form 990) 2016	YALE-CHINA	ASSOCIATION,	INC.

on Form 990, Part IV, line (b) Book value			d-of-year market value
15,400,563.	END-OF-Y	EAR MARKET	' VALUE
1 - 400			
15,400,563.	,		
			al after an unautratively of
(b) Book value	(c) Method of Va	aluation: Cost or en	d-of-year market value
on Form 990, Part IV, line	11d. See Form 990,	Part X, line 15.	
Description			(b) Book value
15.)		►	
	11		-
on Form 990, Part IV, line		n 990, Part X, line 2	5.
	(b) BOOK value		
25)			
25.)▶ the text of the footnote t	o the organization's f	inancial statements	that reports the
	15,400,563.	15,400,563. END-OF-Y	15,400,563. END-OF-YEAR MARKET 15,400,563. 15,400,563. 15,400,563. (c) Method of valuation: Cost or en (b) Book value (c) Method of valuation: Cost or en (b) Book value (c) Method of valuation: Cost or en (c) Method of valuation: Cost or en 15.0 (c) Method of valuation: Cost or en 16.0 (c) Method of valuation: Cost or en

Schedule D (Form 990) 2016

Sche	edule D (Form 990) 2016 YALE-CHINA ASSOCIATION, INC.	06-	0646971	Page 4
Pa	rt XI Reconciliation of Revenue per Audited Financial Statements With Re	venue per Retur	'n.	
	Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.			
1	Total revenue, gains, and other support per audited financial statements	1	4,112,	312.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
а	Net unrealized gains (losses) on investments 2a	863,072.		
b	Donated services and use of facilities 2b	152,000.		
	Recoveries of prior year grants 2c			
d	Other (Describe in Part XIII.) 2d			
е	Add lines 2a through 2d	2e	1,015,	
3	Subtract line 2e from line 1	3	3,097,	240.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a			
b	Other (Describe in Part XIII.) 4b			
с	Add lines 4a and 4b	4c		0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		3,097,	240.
De	w VII Deconciliation of Expenses new Audited Einensial Statements With Ex	waaaaa aay Dat		

Pa	rt XII Reconciliation of Expenses per Audited Financial Stateme	ents Wit	h Expenses per	Retu	ırn.
	Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.				
1	Total expenses and losses per audited financial statements			1	2,042,229.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
а	Donated services and use of facilities	2a	152,000.		
b	Prior year adjustments	2b			
	Other losses	2c			
d	Other (Describe in Part XIII.)	2d			
е	Add lines 2a through 2d			2e	152,000.
3	Subtract line 2e from line 1			3	1,890,229.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
а	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
с	Add lines 4a and 4b			4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)			5	1,890,229.
Pa	rt XIII Supplemental Information.				

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2:

THE	ORGANIZATION	' S	ACCOUNTING	POLICY	PROVIDES	$\mathbf{T}\mathbf{H}\mathbf{A}\mathbf{T}$	Α	TAX	EXPENSE	OR
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BENEFIT FROM AN UNCERTAIN TAX POSITION MAY BE RECOGNIZED WHEN IT IS MORE

LIKELY THAN NOT THAT THE POSITION WILL BE SUSTAINED UPON EXAMINATION. THE

ORGANIZATION HAS NO UNCERTAIN TAX POSITIONS RESULTING IN AN ACCRUAL OF TAX

EXPENSE OR BENEFIT.

632054 08-29-16

SCHEDULE F	Stateme	ent of Act	ivities Outside the Un	ited Sta	ates	OMB No. 1545-0047
(Form 990)			on answered "Yes" on Form 990, Part			2016
Department of the Treasury			Attach to Form 990.			Open to Public
Internal Revenue Service	Information ab	out Schedule F	(Form 990) and its instructions is at v	www.irs.gov/f		Inspection
Name of the organization					Employer id	entification number
YALE-CHINA ASS					06-064	
		Activities Ou	tside the United States. Comple	te if the orgar	ization answei	red "Yes" on
Form 990, Par						
			ds to substantiate the amount of its gra the selection criteria used to award the			X Yes No
2 For grantmakers. De United States.	scribe in Part V th	e organization's	procedures for monitoring the use of its	grants and o	ther assistance	e outside the
	(The following Par	t I, line 3 table c	an be duplicated if additional space is n	eeded.)		
(a) Region	(b) Number of				vity listed in (d) (f) Total
	offices	employees, agents, and	(by type) (such as, fundraising, pro-		gram service,	expenditures
	in the region	independent	gram services, investments, grants to		e specific type	for and investments
		contractors in the region	recipients located in the region)	of service	(s) in the regio	n in the region
			PROGRAM MANAGMENT,			
EAST ASIA AND THE			ADMINISTRATION AND			
PACIFIC	1	2	FUNDRAISING			101,231.
						,
3 a Sub-total	1	L 2				101,231.
b Total from continuation						
sheets to Part I		0				0.
c Totals (add lines 3a						
and 3b)	. 1	L 2				101,231.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2016

OMB No. 1545-0047

632071 09-21-16

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)		(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE						
		PACIFIC		5,480.		٥.		
		EAST ASIA AND THE						
		PACIFIC		48,000.		0.		
								<u> </u>
0 Estantatal and 1		l		familiar a sur f				L
			recognized as charities by the n 501(c)(3) equivalency letter					
						▶		

06-0646971

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)

Schedule F (Form 990) 2016

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? <i>If</i> "Yes," <i>the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)</i>	Yes	X No
2	Did the organization have an interest in a foreign trust during the tax year? <i>If</i> "Yes," <i>the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)</i>	Yes	X No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)	Yes	X No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? <i>If</i> "Yes," <i>the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)</i>	Yes	X No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)	Yes	X No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)	Yes	X No

Schedule F (Form 990) 2016

632074 09-21-16

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

PART I, LINE 2:

Part V Supplemental Information

THE YALE-CHINA ASSOCIATION ISSUED GRANTS TO TWO CHINESE UNIVERSITIES FOR A SCHOLARSHIP PROGRAM FOR CHINESE UNDERGRADUATES WHO ARE FROM UNDER-PRIVILEGED BACKGROUNDS. WITHOUT THIS SUPPORT, IT MIGHT HAVE BEEN IMPOSSIBLE FOR THESE PROMISING YOUNG PEOPLE TO FINISH THEIR UNDERGRADUATE THE YALE-CHINA ASSOCIATION MONITORS THE GRANTS IN SEVERAL WAYS. DEGREES. FIRST THE INSTITUTION IS REQUIRED TO GIVE DETAILED FINANCIAL AND NARATIVE REPORTS TO THE ORGANIZATION, WHICH INCLUDES AN ACCOUNTING OF HOW EACH DOLLAR IS SPENT, AS WELL AS INFORMATION ON ALL OF THE STUDENTS WHO RECEIVED SCHOLARSHIPS. SECOND, A BILINGUAL MEMBER OF THE YALE-CHINA STAFF SERVES ON THE SCHOLARSHIP SELECTION COMMITTEE AT THE INSTITUTION TO ENSURE THE SELECTION PROCESS IS TRANSPARENT AND SOUND. THIRD, STAFF REGULARLY INTERACT WITH THE SCHOLARSHIP STUDENTS TO MONITOR THE SUPPORT THEY ARE RECEIVING FROM THE INSTITUTION. FINALLY, YALE-CHINA LIMITS THE AMOUNT OF MONEY FROM THE GRANTS THAT THE INSTITUTION CAN USE FOR ADMINISTRATIVE SUPPORT TO A NOMINAL AMOUNT.

17050515 788076 2110

(Form 990 or 990-EZ) Complete if the Department of the Treasury	the organization answered "Yes" on organization entered more than \$1 Attach to Form 990	5,000 5,000 0 or Fo	990, F on Fo rm 99	Part IV, line 17, 18, c rm 990-EZ, line 6a. 0-EZ.	or 19,	or if the	OMB No. 1545-0047
Name of the organization	n about Schedule G (Form 990 or 990-EZ) and its	s instru	uctions is at WWW.Irs.g	<i>j0V/1</i> 0	Jiiii330.	entification number
	HINA ASSOCIATION, 1					06-0646	
Part I Fundraising Activitie required to complete this p	S. Complete if the organization answers.	ered "Y	'es" oi	n Form 990, Part IV,	line 1	7. Form 990-E	Z filers are not
	e Solicita f Solicita g Solicita g Specia n or oral agreement with any individua Part VII) or entity in connection with p dividuals or entities (fundraisers) purs	ition of tion of I fundra I (inclue profess	non-g gover aising ding o ional f	overnment grants nment grants events fficers, directors, trus fundraising services?	stees	Ye	
(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) fundr have c or cor contrib	aiser ustody trol of	(iv) Gross receipts from activity	tò (o	Amount paid or retained by) fundraiser ted in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No				
Total							
3 List all states in which the organiza or licensing.	tion is registered or licensed to solicit	contrib	outions	s or has been notified	d it is	exempt from	registration
LHA For Paperwork Reduction Act N	ntice, see the Instructions for Form	990 or	990-1	F7. 4	Sche	dule G (Form	990 or 990-EZ) 2016

632081 09-12-16

Schedule G (Form 990 or 990-EZ) 2016 YALE-CHINA ASSOCIATION, INC. 06-0646971 Page Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000

06-0646971 Page 2

		of fundraising event contributions and gro	oss income on Form 990	EZ, lines 1 and 6b. List	events with gross receip	ots greater than \$5,000.
			(a) Event #1 IN HOME DINNERS	(b) Event #2	(c) Other events NONE	(d) Total events (add col. (a) through
e			(event type)	(event type)	(total number)	col. (c))
Revenue	1	Gross receipts	22,760.			22,760.
	2	Less: Contributions				
	3	Gross income (line 1 minus line 2)	22,760.			22,760.
		Cook prizos				
	1	Cash prizes				
SS	5	Noncash prizes				
kpense	6	Rent/facility costs				
Direct Expenses	7	Food and beverages				
ā	8	Entertainment				
	9	Other direct expenses				
	10		0. 1 (1)		►	
P	11 art	Net income summary. Subtract line 10 from li III Gaming. Complete if the organization		990 Part IV line 19 or		22,760.
		\$15,000 on Form 990-EZ, line 6a.		1000, 1 art 10, into 10, or	reported more than	
Revenue			(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Rev		0				
	1	Gross revenue				
ses	2	Cash prizes				
Direct Expenses	3	Noncash prizes				
Direct	4	Rent/facility costs				
	5	Other direct expenses				
			Yes %	Yes%	Yes%	
	6	Volunteer labor	No No	No No	└── No	
	7	Direct expense summary. Add lines 2 through	h 5 in column (d)		►	
	8	Net gaming income summary. Subtract line 7	from line 1, column (d)		►	
		ter the state(s) in which the organization condu the organization licensed to conduct gaming a		atataa?		Yes No
		No," explain:				
~		2				
		ere any of the organization's gaming licenses re		-	year?	Yes No
L.	- 11	Yes," explain:				
6320	82 09	9-12-16			Schedule G (For	rm 990 or 990-EZ) 2016

Schedule G (Form 990 or 990-EZ) 2016 YALE-CHINA A	ASSOCIATION, INC.	06-0646971 Pag
11 Does the organization conduct gaming activities with nonm		
12 Is the organization a grantor, beneficiary or trustee of a trus		
to administer charitable gaming?		
3 Indicate the percentage of gaming activity conducted in:		
a The organization's facility		13a
b An outside facility		
4 Enter the name and address of the person who prepares the		
Name 🕨		
Address		a revenue? Yes
5a Does the organization have a contract with a third party fro		
b If "Yes," enter the amount of gaming revenue received by t		_ and the amount
of gaming revenue retained by the third party $igstarrow$ \$		
${f c}$ If "Yes," enter name and address of the third party:		
Name		
Address		
6 Gaming manager information:		
Name 🕨		
Gaming manager compensation 🕨 💲		
Director/officer Employee	Independent contractor	
7 Mandatory distributions:		
-	able distributions from the gaming proper	do to
a Is the organization required under state law to make charita	able distributions from the gaming procee	
retain the state gaming license?		
b Enter the amount of distributions required under state law		ations or spent in the
organization's own exempt activities during the tax year activities during the tax year acti		ii) and (.); and Dart III, lines 0. Ob. 10b. 15
		ii) and (v); and Part III, lines 9, 90, 100, 13
15c, 16, and 17b, as applicable. Also provide any	additional information. See instructions	
32083 09-12-16		Schedule G (Form 990 or 990-EZ)
	36	
50515 788076 2110 2016		SOCIATION, INC 2110

Schedule (G (Form 990 or 990-EZ)	YALE-CHINA	ASSOCIATION,	INC.
Part IV	Supplemental Infor	mation (continued)		

32084	Schedule G (Form 990 or 990-E2
32084 4-01-16	
	37
50515 788076 2110	2016.05040 YALE-CHINA ASSOCIATION, INC 21101

sc	HEDULE J	Compensation Information	I	OMB No.	1545-00	47	
(Fo	(Form 990) For certain Officers, Directors, Trustees, Key Employees, and Highest		ľ	201		6	
		Compensated Employees Complete if the organization answered "Yes" on Form 990, Part IV, line 23.		20	IU	,	
Depa	rtment of the Treasury	Attach to Form 990.		Open to			
Interr	al Revenue Service	Information about Schedule J (Form 990) and its instructions is at www.irs.gov/fo			ction		
Nan	ne of the organizatio			identificati		mber	
		YALE-CHINA ASSOCIATION, INC. s Regarding Compensation	06-0	064697	T		
Pa	rt I Question	s Regarding Compensation			V.		
10	Chaok the energy	iste bev/ee) if the exception provided any of the following to exfer a person listed on Ferr			Yes	No	
la		iate box(es) if the organization provided any of the following to or for a person listed on Forn line 1a. Complete Part III to provide any relevant information regarding these items.	1990,				
	First-class or						
	Travel for con	, i i i i i i i i i i i i i i i i i i i					
		cation and gross-up payments I Health or social club dues or initiation fee					
		spending account Personal services (such as, maid, chauffe					
b	If any of the boxes	on line 1a are checked, did the organization follow a written policy regarding payment or					
		provision of all of the expenses described above? If "No," complete Part III to explain		1b			
2		n require substantiation prior to reimbursing or allowing expenses incurred by all directors,					
		ers, including the CEO/Executive Director, regarding the items checked on line 1a?		2			
3	Indicate which, if a	ny, of the following the filing organization used to establish the compensation of the organiz	ation's				
	CEO/Executive Dir	ector. Check all that apply. Do not check any boxes for methods used by a related organizat	ion to				
	establish compens	ation of the CEO/Executive Director, but explain in Part III.					
	Compensatio						
		compensation consultant					
	Form 990 of c	ther organizations	ommittee				
	Duning the user di	d any names listed on Four 200. Dout VII. Costion A list 1s, with respect to the filling					
4		d any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing					
2	organization or a re			40		x	
a b		ce payment or change-of-control payment? ceive payment from, a supplemental nonqualified retirement plan?				X	
0		ceive payment from, an equity-based compensation arrangement?				X	
C		nes 4a-c, list the persons and provide the applicable amounts for each item in Part III.					
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.					
5		on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensati	on				
	contingent on the						
а	The organization?			5a		Х	
		zation?				X	
		or 5b, describe in Part III.					
6	For persons listed	on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensati	on				
	contingent on the	net earnings of:					
						X	
	Any related organiz	zation?				X	
		or 6b, describe in Part III.					
7	-	on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payment				37	
		nes 5 and 6? If "Yes," describe in Part III		7		X	
8		reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to				v	
~		eption described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III		8		X	
9		lid the organization also follow the rebuttable presumption procedure described in		-			
		n 53.4958-6(c)?					
LHA	For Paperwork R	eduction Act Notice, see the Instructions for Form 990.	Schee	dule J (Forr	n 990) 2016	

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation		(C) Retirement and	(D) Nontaxable	(E) Total of columns		
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(i)-(D)	in column (B) reported as deferred on prior Form 990
(1) DAVID YOUTZ	(i)	136,197.	0.	0.		19,872.	156,069.	0.
PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
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	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Schedule J (Form 990) 2016

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Schedule J (Form 990) 2016

SCHEDULE O (Form 990 or 990-EZ) Department of the Treasury Internal Revenue Service Information about Schedule O (Form 990 or 990-EZ) and its instructions is at WWW.IRS	is on	OMB No. 1545-0047
Name of the organization YALE-CHINA ASSOCIATION, INC.	Employer	ridentification number 646971
FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION		
GRADUATES OF YALE UNIVERSITY, IT FOSTERS LONG-TERM REL	ATIONSHIP	S THAT
IMPROVE EDUCATION, HEALTH, AND CULTURAL UNDERSTANDING	IN CHINA	AND THE
UNITED STATES.		
FORM 990, PART VI, SECTION B, LINE 11B:		
THE FORM 990 IS REVIEWED BY THE ORGANIZATION'S MANAGEM	ENT, INCL	UDING THE
VICE PRESIDENT AND DIRECTOR OF FINANCE AND OPERATIONS,	AND THE	BOARD OF
TRUSTEES.		
FORM 990, PART VI, SECTION B, LINE 12C:		
OFFICERS, DIRECTORS AND ALL EMPLOYEES ARE REQUIRED TO	COMPLETE	AN ANNUAL
DISCLOSURE FORM. DISCLOSURES ARE REVIEWED BY THE BOAR	D CHAIR A	ND THE
GOVERNANCE COMMITTEE.		
FORM 990, PART VI, SECTION B, LINE 15A:		
THE EXECUTIVE DIRECTOR'S SALARY IS REVIEWED BY A COMMI	TTEE AND	THE BOARD
TREASURER AND COMPARED TO SALARIES OF SIMILAR NONPROFI	T EXECUTI	VES WORKING
IN THE SAME FIELD AND ALSO COMPARED TO THOSE WORKING I	N CONNECT	ICUT. THAT
COMPARISON INFORMATION IS KEPT ON FILE AT THE ORGANIZA	TION. TH	E EXECUTIVE
DIRECTOR'S COMPENSATION IS THEN REVIEWED ANNUALLY AND	APPROVED	BY THE BOARD
OF TRUSTEES.		

FORM 990, PART VI, SECTION C, LINE 18:

ALL DOCUMENTS ARE AVAILABLE UPON REQUEST

LHAFor Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.Schedule O (Form 990 or 990-EZ) (2016)63221108-25-16

41 2016.05040 YALE-CHINA ASSOCIATION, INC 2110___1

FORM 990, PART VI, SECTION C, LINE 19:

ALL DOCUMENTS ARE AVAILABLE UPON REQUEST

FORM 990, PART XII, LINE 2C:

THERE HAS BEEN NO CHANGE IN THE PROCESS FROM THE PRIOR YEAR

632212 08-25-16

Schedule O (Form 990 or 990-EZ) (2016) 42 2016.05040 YALE-CHINA ASSOCIATION, INC 2110___1

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